

Administration and Regulation Budget Subcommittee Presentation

Legislative Services Agency

Fiscal Services Division

- Nonpartisan agency of the General Assembly
- Provide objective fiscal analysis to both chambers and both political parties on legislation and legislative proposals

Fiscal Services Division

- Assist General Assembly with developing a balanced budget .
- Analyze and monitor the status of appropriations bills through the legislative process.
- Primary staff for appropriations subcommittees.
- Provide information and analysis of State revenues and expenditures. (Budget Analysis)
- Evaluate the fiscal impact of legislative proposals for State and local government. (Fiscal Notes)
- Review the operations of State government for efficiencies and determine if the programs work as intended.

Fiscal Services Division

FISCAL SERVICES PUBLICATIONS:

- **Fiscal Report (Graybook)** – Annual end-of-session fiscal report that includes analysis of legislation passed by the General Assembly.
- **Issue Review** – In depth analysis of fiscal and budgetary issues.
- **Fiscal Topics** – One- to two-page summaries reviewing selected State government issues or topics.
- **Factbook** – Statistics on Iowa's government, economy, and population.
- **Fiscal Update** – Fiscal Services newsletter
- **Analysis of Department Budget Requests** – Summary analysis of department requests and general budget outlook for the next fiscal year.

Fiscal Services Division

FISCAL SERVICES PUBLICATIONS (continued):

- **Analysis of Governor's Budget Recommendations** – Overall analysis of the Governor's proposed budget
- **Detailed Analysis of Governor's Budget Recommendations by Subcommittee (Blue Books)** – Document for each subcommittee that provides more in depth analysis of the Governor's proposed budget.
- **Notes on Bills and Amendments** – Provides fiscal analysis of each section of an appropriations bill.
- **Fiscal Notes** – A nonpartisan analysis of estimated costs and/or changes in revenues of proposed legislation.
- **Special Requests** – Fiscal staff can provide information and analysis of a specialized nature on request.

Administration and Regulation Appropriations Subcommittee Departments

- **Elected Offices:**

- ◆ Auditor of State
- ◆ Governor's Office
- ◆ Secretary of State
- ◆ Treasurer of State

Administration and Regulation Appropriations Subcommittee Departments

- **Non-elected Departments**

- ◆ Department of Administrative Services

- ◆ Department of Commerce

- Alcoholic Beverages Division

- Banking Division

- Credit Union Division

- Insurance Division

- Utilities Division

- ◆ Ethics and Campaign Disclosure Board

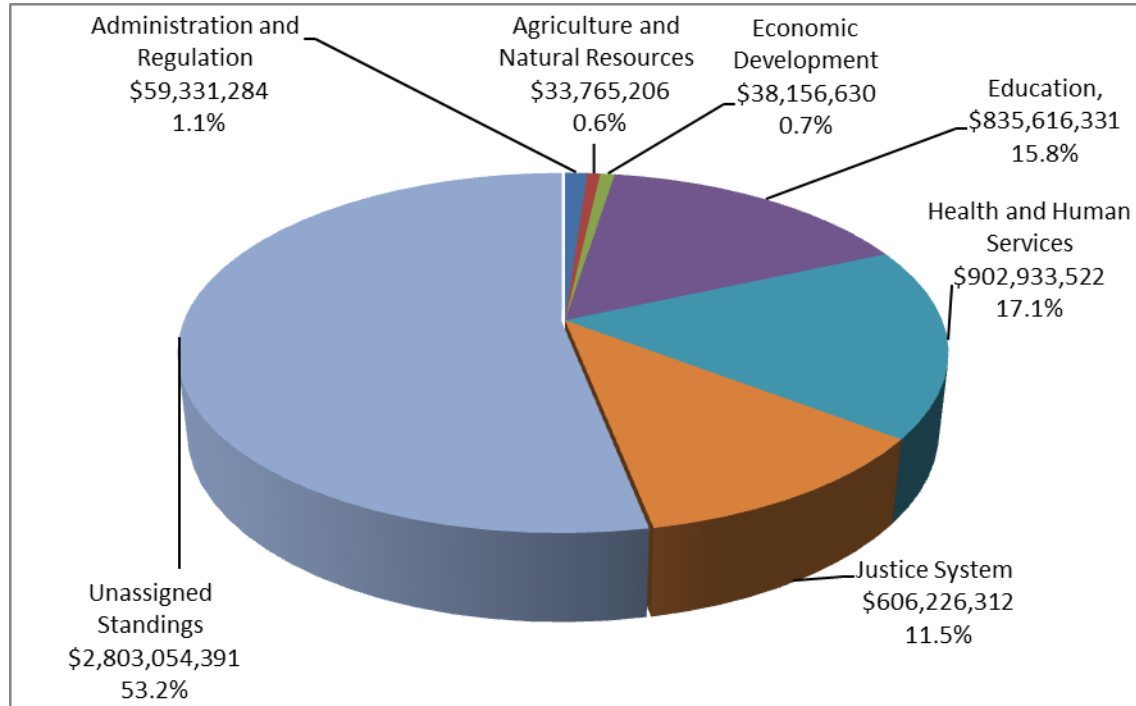
Administration and Regulation Appropriations Subcommittee Departments

- **Non-elected Departments (continued)**

- ◆ Governor's Office of Drug Control Policy
- ◆ Department of Human Rights
- ◆ Department of Inspections and Appeals
- ◆ Department of Management
- ◆ Department of Revenue
- ◆ Rebuild Iowa Office
- ◆ Iowa Public Employees Retirement System (IPERS)

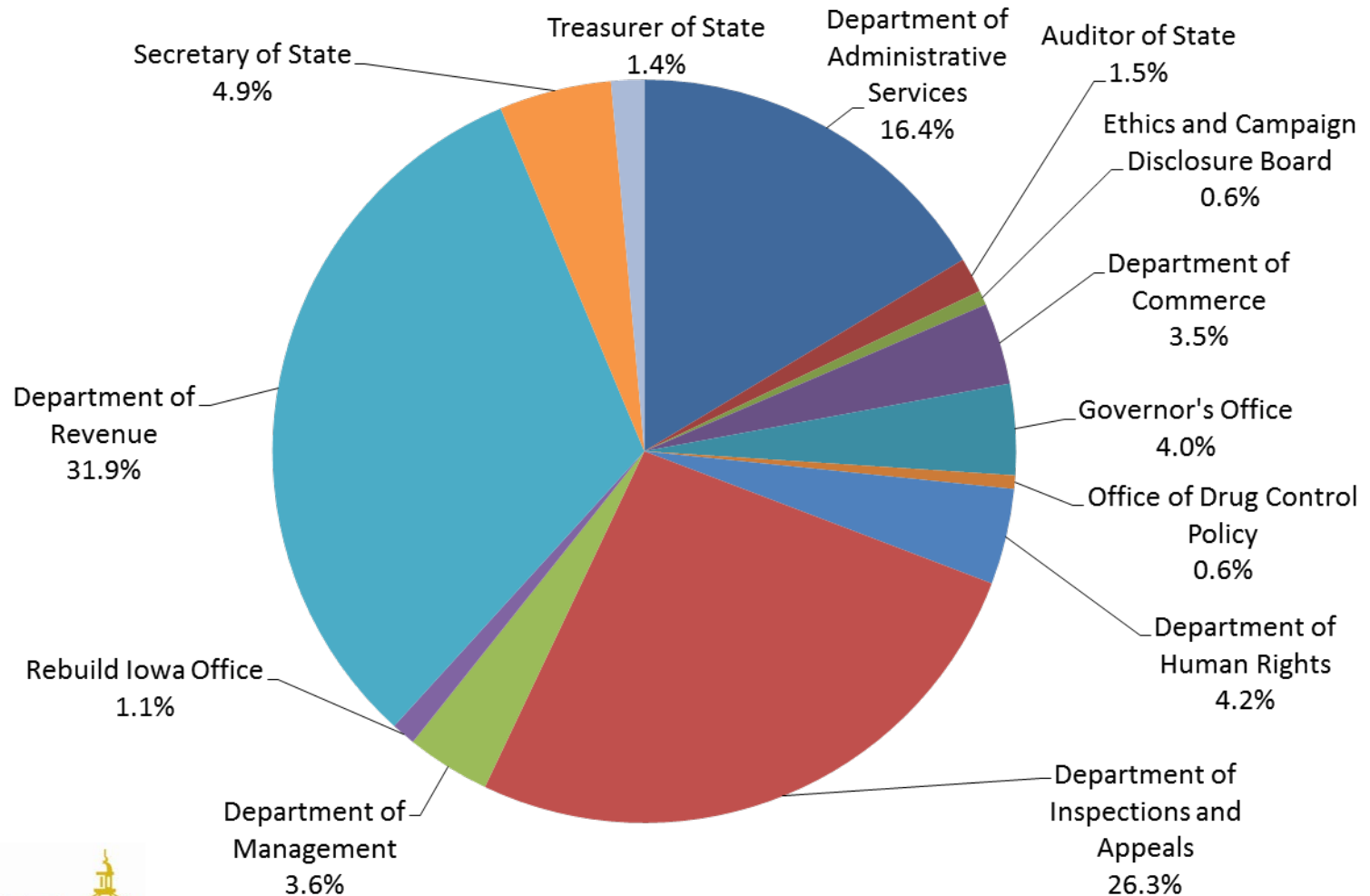
FY 2011 General Fund Appropriations

Total: \$5.3 billion

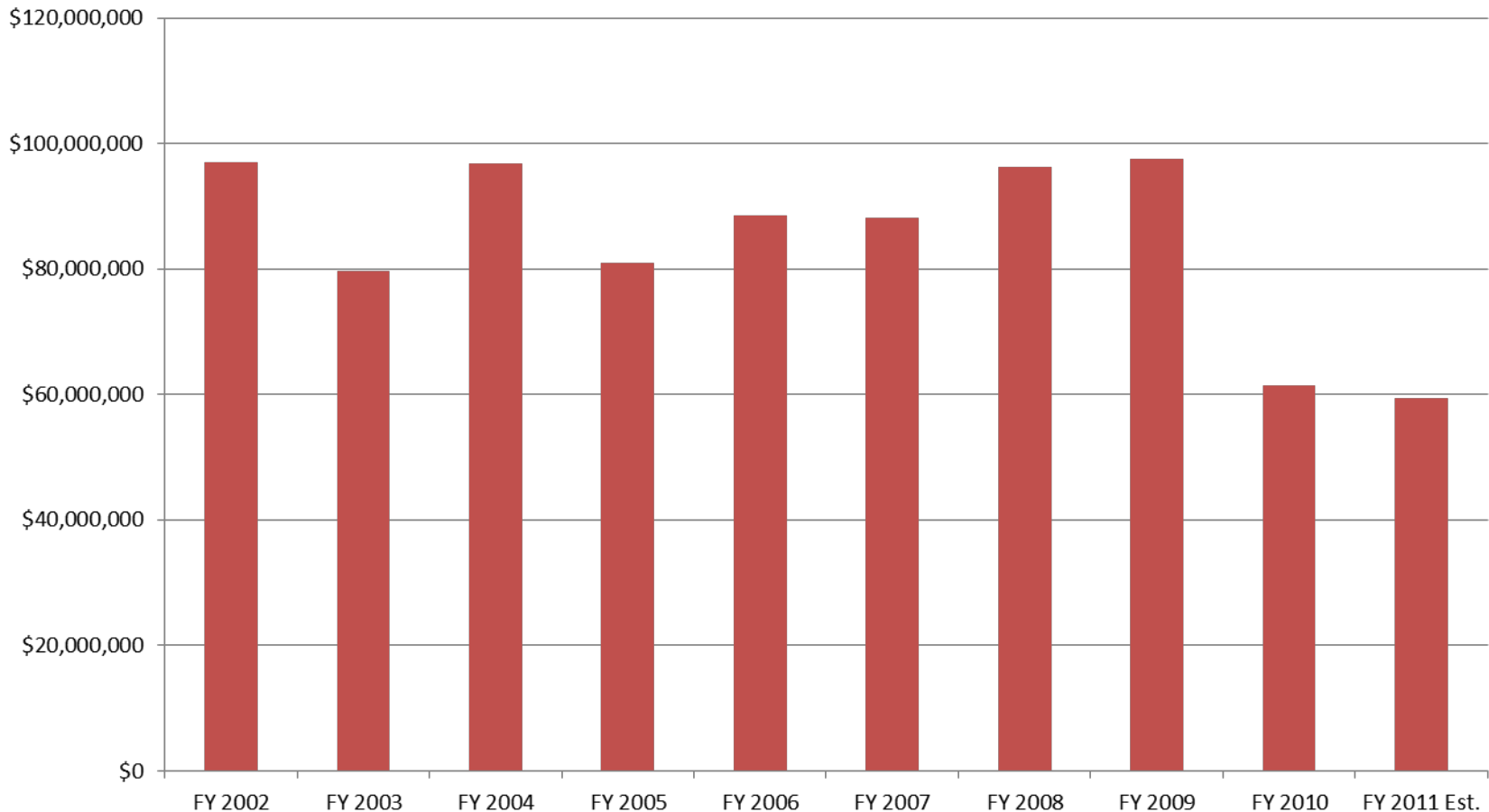


- FY 2011 total General Fund appropriations: \$5.3 billion.
- Administration and Regulation Subcommittee: \$59.3 million (1.1% of the total General Fund budget)

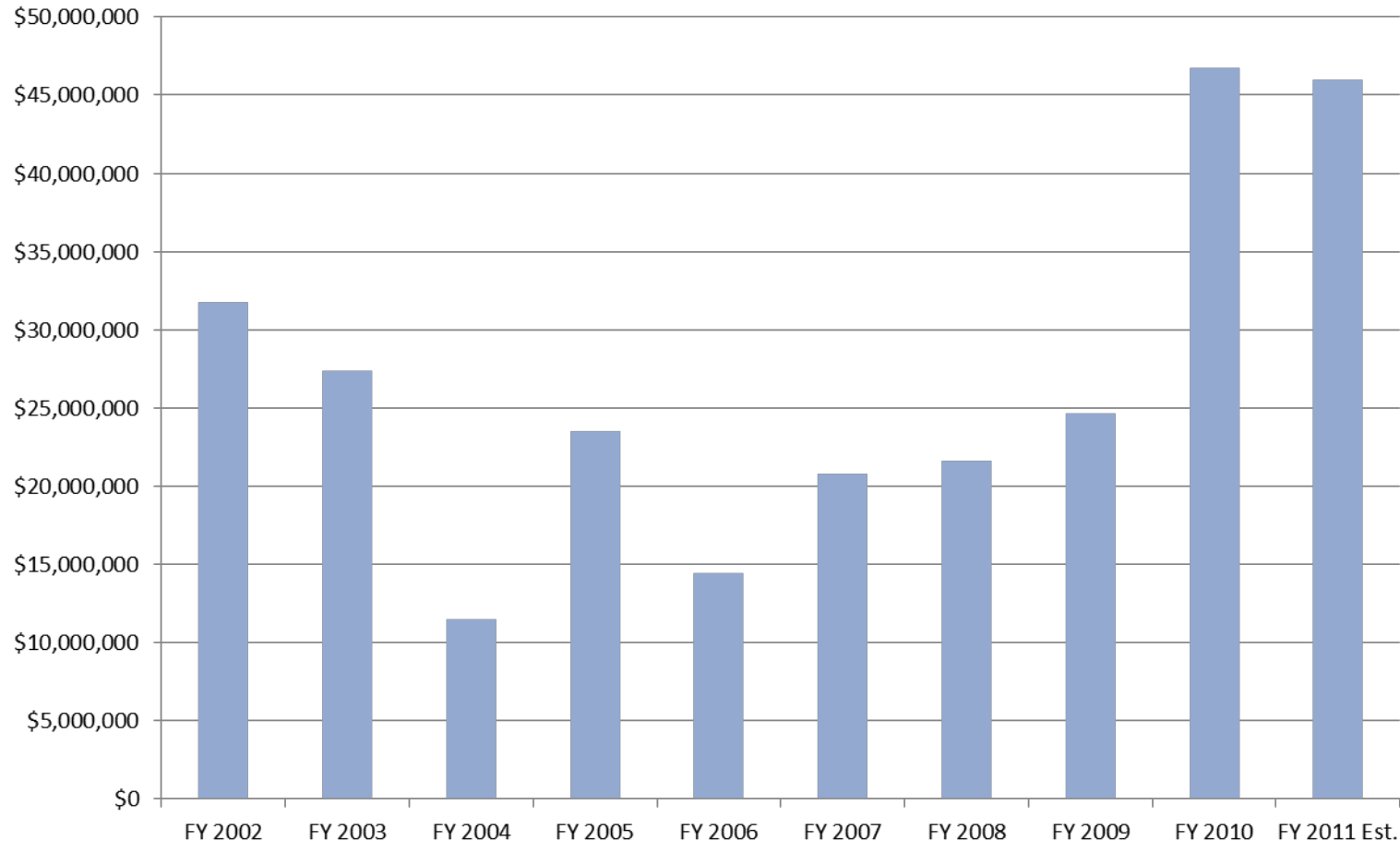
Administration and Regulation Subcommittee FY 2011 General Fund Appropriations: \$59.3 million



Administration and Regulation Subcommittee Historical General Fund Appropriations



Administration and Regulation Subcommittee Historical Other Fund Appropriations





Auditor of State

- The Office provides timely and efficient audit services that ensure State and local governments make the best possible use of public funds.
- The Office is required to make a complete audit of the books, records, and accounts of every department of State government annually.



Governor's Office

- The Governor's Office is responsible for managing the Executive Branch and implementing policies and programs in accordance with State law.
- Responsibilities include:
 - ◆ Preparing and submitting the annual State budget.
 - ◆ Conducting reviews of and making final decisions on proposed legislation.
 - ◆ Making required appointments for judges and justices and all boards and commissions.



Secretary of State

- The Office serves as a repository for records related to corporations, commercial liens, the Acts of the General Assembly, oaths of office, and city records concerning incorporations, boundaries, and other such filings.
- The Secretary of State also supervises elections, commissions notaries public, and publishes the Iowa Official Register.



Treasurer of State

- Functions as the depository for the State and is responsible for all associated bank relations.
- Receives and verifies all deposits and redeems all State warrants presented for payment. The Office has custody of and investment responsibility for all State operating funds and various trust funds.



Department of Administrative Services

- Established in 2003, the Department of Administrative Services (DAS) consists of four enterprises that provide infrastructure and facilities services to other agencies of State government.
- Made up of four separate enterprises – Information Technology, General Services, Human Resources, and State Accounting.



LSA: January 2011

Department of Commerce

- Department of Commerce is comprised of six divisions:
 - ◆ Alcoholic Beverages Division
 - ◆ Banking Division
 - ◆ Credit Union Division
 - ◆ Insurance Division
 - ◆ Professional Licensing Bureau
 - ◆ Iowa Utilities Board
- Each division regulates an industry or group of industries. The Banking Division, Credit Union Division, Insurance Division and Iowa Utilities Board are funded by fees charged to the respective industry.
- Each division's budget is prepared independently, then combined with other division budgets for submission to the Governor/General Assembly.

Ethics and Campaign Disclosure Board

- The Board sets standards for, investigates complaints relating to, and monitors the following:
 - ◆ Ethics of officials, employees, lobbyists, and candidates for office in the Executive Branch.
 - ◆ Campaign finance practices of candidates for public office.

Department of Inspections and Appeals

- The Department is a regulatory agency charged with protecting the health, safety, and well-being of Iowans.
- Consists of four divisions: Administration Division, Administrative Hearings Division, Health Facilities Division, and Investigations Division.
- Includes five administrative units: Child Advocacy Board, Employment Appeal Board, Hospital Licensing Board, Racing and Gaming Commission, and State Public Defender*

*State Public Defender funded in the Justice Subcommittee



Governor's Office of Drug Control Policy

- The Department coordinates agencies and stakeholders involved with drug enforcement and substance abuse treatment and prevention.
- Creates the drug control policy and strategy for the State and identifies, pursues, and administers federal and other grants.

- Promotes self-sufficiency of constituent populations by providing training, developing partnerships, and advocating on their behalf.
- The Department has three Divisions: Community Advocacy and Services, Community Action Agencies, Criminal and Juvenile Justice Planning.
- Seven offices exist within the Division of Community Advocacy and Services representing specific constituencies.

Department of Management

- The Department is the planning and budgeting agency within the Executive Branch. The director of the Department serves as the Governor's chief financial advisor.
- The Department provides budget development, policy development, and economic forecasting.
- The State Appeals Board and Office of Lean Enterprise are located in the Department of Management.



Department of Revenue

- Department of Revenue is comprised of six divisions, including: Taxpayer Services and Policy, Compliance, Property Tax, Revenue Operations, Technology and Information Management, and Internal Services.
- The Department collects all taxes in Iowa that are required by law.
- Provides taxpayers with information that supports tax filing and payments.



Rebuild Iowa Office

- The Rebuild Iowa Office was established to coordinate the Statewide recovery efforts after the natural disasters of 2008.
- The Office coordinates State activities concerning the rebuilding effort and establishes benchmarks associated with recovery.
- The Department is scheduled to sunset June 30, 2011, and a Transition Plan is has been developed by the Office to ensure continuation of necessary services and communication.

Iowa Public Employees Retirement System (IPERS)

- Administers the retirement benefits for many of Iowa's public employees.
- Public employers use IPERS benefits to attract and retain qualified public personnel in public service.
- IPERS does not receive an appropriation from the General Fund, but uses the IPERS Trust Fund to support administrative operations as authorized by the Administration and Regulation Appropriations Subcommittee.

For more information, contact:

Joe Brandstatter
(515) 281-8223
Legislative Services Agency
Miller Building

joseph.brandstatter@legis.state.ia.us

Dave Reynolds
(515) 281-6934
Legislative Services Agency
Miller Building

dave.reynolds@legis.state.ia.us



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